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WILSON SONSINI  
GOODRICH & ROSATI

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,196	11/21/2001	Winston Way	26084-717

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

CONFIRMATION NO. 1219

FORMALITIES LETTER



\*OC000000007173825\*

Date Mailed: 12/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1080.
  - \$828 for 46 total claims over 20.
  - \$252 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1950.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/25/2002 HNOHAMM1 00000004 232415 09990196

01 FC:202

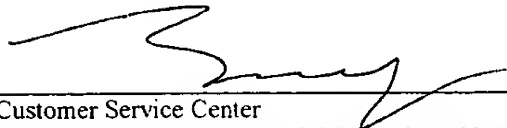
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02/07/2002 -SDEHOB1 00000106 232415 09990196

01 FC:201 370.00 CH  
02 FC:203 414.00 CH  
03 FC:202 84.00 CH  
04 FC:205 65.00 CH

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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P.D. Page 1 of 2

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/990,196	11/21/2001	2633	0.00	26084-717	22	66	6

CONFIRMATION NO. 1219

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

FILING RECEIPT



\*OC00000007173824\*

Date Mailed: 12/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Winston Way, [Residence Not Provided] -- IRVINE, CA --

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/309,220 07/31/2001

-- ANDEA CON OF 09/575,811 05/22/2000 --

Foreign Applications

If Required, Foreign Filing License Granted 12/04/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

-- SMALL ENTITY --

Title

Interconnected broadcast and select optical networks with shared wavelengths

Preliminary Class

359

LICENSE FOR FOREIGN FILING UNDER



Practitioner's Docket No. 20084-71

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Way  
Serial No.: 09/990,196  
Filed: November 21, 2001  
For: Interconnected Broadcast and Select Optical  
Networks with Shared Wavelengths

Group 2633  
Examiner: Not Assigned  
Batch: Not Assigned

Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWING(S) TO CORRECT INFORMALITIES  
WITHIN TWO MONTH PERIOD OF RESPONSE SET  
IN NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION  
UNDER 37 CFR 1.53(b)

SUBMISSION OF DRAWING(S)

1. To correct the informalities in the drawings and in accordance with the Notice to File Missing Parts NonProvisional Application dated 12/07/2001, applicants submit herewith formal drawing(s) for this application.

Number of sheets of drawings submitted: 18

2. The two month period of response set in the Notice to File Missing Parts NonProvisional Application expires on 02/07/2002. This submission is on or before this expiry date.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

  
Paul Davis, Reg. No. 29,294

650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300  
Customer No. 021971

CERTIFICATE OF MAILING BY "EXPRESS MAIL"(37 C.F.R. 1.10)

"Express Mail Mailing Label No. EL 713 752 952 US

Date of Deposit 1/31/02

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

Date: 1/31/02



WARNING.

"Facsimile transmissions are not permitted and if submitted will not be accorded a date of receipt" for "(4) Drawings submitted under §§ 1.81, 1.83 through 1.85, 1.152, 1.165, 1.174, 1.437 . . ." 37 C.F.R. 1.6(d)(4).

(Transmittal of New Drawing(s) to Correct Informalities Within Three Month Period of Response Set in Notice of Allowabilitypage 1 )

02-05-02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt  
\$  
#3

In re application of: Way  
Serial No.: 09/990,196  
Filed: November 21, 2001  
For: Interconnected Broadcast and Select  
Optical Networks with Shared Wavelengths

Group No.: 2633

Examiner: Not Assigned



Commissioner for Patents  
Washington, D.C. 20231

## COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 12/07/2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

## DECLARATION OR OATH

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## POWER OF ATTORNEY

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

## FORMAL DRAWINGS

- IV. Enclosed are Formal Drawings in compliance with 37 CFR 1.84.

## SMALL ENTITY STATUS

- V. Applicant claims small entity status. See 37 CFR 1.27.

## COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$370.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$414.00
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$84.00
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
6. Total completion fees	\$973.00

## EXTENSION OF TIME

- VI. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due: \$0

## REQUEST FOR CORRECTED FILING RECEIPT

- VII. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	- Winston Way, Irvine, CA
2. Priority Claim	- THIS APPLN CLAIMS BENEFIT OF 60/309,220 07/31/2001 AND IS A CON OF 09/575,811 05/22/2000
3. Entity status	- Small Entity

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

## TOTAL FEE DUE

- VIII. The total fee due is: Completion fees \$973.00

Corrected Filing Receipt Fees \$25.00  
TOTAL FEE DUE \$998.00

## PAYMENT OF FEES

- IX. Charge Account No. 23-2415 (26084-717) in the amount of \$998.00.  
Please charge Account No. 23-2415 (26084-717) for any fees that may be due by this paper.

Paul Davis, Reg. No. 29,294

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Label No. EL71375295245) in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on the date indicated below. *Paul Davis* 1/31/02

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